



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/666,149	09/19/2003	James M. Nelson	BING-1-1040	8217

60483 7590 10/06/2006

LEE & HAYES, PLLC
421 W. RIVERSIDE AVE.
SUITE 500
SPOKANE, WA 99201

EXAMINER

BROOME, SAID A

ART UNIT

PAPER NUMBER

2628

DATE MAILED: 10/06/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No. 10/666,149	Applicant(s) NELSON, JAMES M.	
	Examiner Said Broome	Art Unit 2628	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 02 August 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-41 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-41 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 1-14 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. Claims 1 and 9 recite a method for facilitating diction of an object and determining a possible presence in an area of study of a ground-level object, respectively, however no tangible result is produced. Therefore, the claimed invention does not possess “real world” value. The tangible requirement does not necessarily mean that a claim must either be tied to a particular machine or apparatus or must operate to change articles or materials to a different state or thing. However, the tangible requirement does require that the claim must recite more than a § 101 judicial exception, in that the process claim must set forth a practical application of that § 101 judicial exception to produce a real-world result. *Benson*, 409 U.S. at 71-72, 175 USPQ at 676-77 (invention ineligible because had “no substantial practical application.”).

Claims 15-28 are rejected under 35 U.S.C. 101 because the claims contain instructions stored on a computer-readable medium, which is non-statutory subject matter because these instructions must be a computer program, or computer code, encoded on a computer readable medium for causing a computer to execute” in order to be considered statutory subject matter. Similarly, computer programs claimed as computer listings per se, i.e., the descriptions or expressions of the programs, are not physical “things.” They are neither computer components nor statutory processes, as they are not “acts” being performed. Such claimed computer

Art Unit: 2628

programs do not define any structural and functional interrelationships between the computer program and other claimed elements of a computer which permit the computer program's functionality to be realized. In contrast, a claimed computer-readable medium encoded with a computer program is a computer element which defines structural and functional interrelationships between the computer program and the rest of the computer which permit the computer program's functionality to be realized, and is thus statutory. See Lowry, 32 F.3d at 1583-84, 32 USPQ2d at 1035. Accordingly, it is important to distinguish claims that define descriptive material per se from claims that define statutory inventions.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-3, 6, 7-10, 13-17, 20-24, 27-31, 34-48 and 41 are rejected under 35 U.S.C.

103(a) as being unpatentable over Schilling et al.(herein "Schilling", "*Multiple-return laser radar for three-dimensional imaging through obscurations*") and Bala et al.(herein "Bala", US Patent 5,522,019).

Regarding claims 1, 15 and 29, Schilling illustrates facilitating detection of an object a in Figure 11. Schilling teaches collecting a point cloud of three dimensional imaging data representing an area of study where an object potentially is obscured by intervening obstacles on page 2791 column 2 lines 14-17 ("*...3-D imaging in the presence of obscurations...*") and page

Art Unit: 2628

2793 section 3 lines 9-11 (*"The laser radar return signals are digitized and stored, resulting in a 3-D array of data representing the entire volume of interest."*). Schilling also teaches processing imaging data to identify elements in a point cloud, or three-dimensional set of points, having substantially common attributes signifying that the identified elements correspond to a feature in the area of study that is at least partially obscured by the intervening obstacle on page 2796 section D lines 1-10 (*"...our whole-return detection scheme is an enhanced capability to accomplish 3-D imaging through partial obscurations..."*), where it is described that points that lie within a common range that belong to the object is obtained as illustrated in Figure 11. Shilling teaches a reversed orientation visualization model from the imaging data from a region of interest, thereby exposing the feature on page 2796 section D lines 1-10 (*"...our whole-return detection scheme is an enhanced capability to accomplish 3-D imaging through partial obscurations..."*) and on page 2797 left column lines 1-2 (*"...we are able to detect approximately 32% of the target information through a double camouflage net."*), where it is described that a reversed representation of the model that presents areas partially obscured through subtraction of other background objects or obstacles is generated, as shown in Figures 11 and 12. Regarding the preamble of claim 15, Schilling teaches a computer that contains a computer-readable medium that has instructions for detecting an object that is obscured by obstacles on page 2791 in the abstract lines 5-6 and on page 2798 section 8 second column lines 10-14. Regarding the preamble of claim 29, Schilling teaches a system for facilitating detection of an object on page 2691 right column lines 12-15 (*"We briefly describe the basic principle of operation and system architecture of the laser radar system. Field trial results demonstrate the system capabilities..."*). However, Schilling fails to teach generating at least one isosurface

Art Unit: 2628

associating elements having substantially common attributes. Bala teaches generating an isosurface associating elements that have common attributes in column 1 lines 13-23 and 41-43 where it is described that a set of points that have constant scalar field values are used to generate an isosurface and contain connectivity information signifying that they correspond to the generated surface as described in column 6 lines 64-66. It would have been obvious to one of ordinary skill in the art to combine the teachings of Schilling et al. with Bala et al. because this combination would provide fast and efficient detection of an object through obscurities by gathering three-dimensional data in order to generate an isosurface of points with common attributes, thereby reducing the computation time that would be spent on generating an actual surface of the object.

Regarding claims 2, 16, and 30, Schilling teaches gathering the point cloud of three dimensional imaging data of the area of study on page 2793 section 3 lines 9-11 (*"The laser radar return signals are digitized and stored, resulting in a 3-D array of data representing the entire volume of interest."*). Though Shilling does not explicitly teach gathering data from an aerial position it would have been obvious to one of ordinary skill in the art that detection of the object would be possible from an aerial position as well, due to laser detection performed through an atmosphere regardless of its elevation, as described on page 2791 section 2 lines 6-9 (*"...laser radiation travels through the atmosphere, is scattered by whatever it hits, and a small portion of the laser radiation is reflected directly back to the transceiver."*). Therefore it would be possible to acquire the data at varying elevations because the elevation does not affect the detection capabilities of the laser.

Regarding claims 3, 10, 17, 24, 31, and 38, Schilling teaches three-dimensional imaging data of the scene is gathered using lidar, or laser radar system, on page 2792 second column second paragraph lines 7-13.

Regarding claims 6, 13, 20, 27, and 34, Schilling et al. teaches on page 2791 column 2 lines 9-17 (“...*the capability to digitize and store the entire return pulse for a software-selectable range gate of interest.*”), where a particular range within the 3D data is selectable, therefore a region of interest may be selected for generating the reversed orientation visualization model which provides the region without obscurities, as shown in Figure 11.

Regarding claims 7, 21, 28 and 35, Though Shilling does not explicitly teach a top-down view of the region of interest, it would have been obvious to one of ordinary skill in the art that detection of the object, such as the a nonreversed orientation which that includes points gathered without removing the obscurities as shown in Figure 14, it would be possible from an aerial top-down position because laser detection performed through an atmosphere regardless of its elevation, as described on page 2791 section 2 lines 6-9, and it would therefore be possible to acquire the data at varying elevations such as from a top-down position because the elevation does not affect the detection capabilities of the laser. Schilling teaches a reversed orientation visualization model on page 2796 section D lines 1-9 and is also illustrated in Figure 11.

Regarding claims 8, 14, 22, 36 and 41, Schilling et al. teaches on page 2796 section D lines 1-9 a reversed orientation visualization model that exposes areas of total occlusion by using a laser system that enables analysis of an area of interest from varying elevations and distances, as shown in Figures 11 and 12.

Regarding claims 9, 23 and 37, Schilling illustrates a method for detecting a possible presence in an area of study of a ground-level object in Figure 11. Schilling teaches gathering a point cloud of three dimensional imaging data representing the area of study on page 2793 section 3 lines 9-11 (*"The laser radar return signals are digitized and stored, resulting in a 3-D array of data representing the entire volume of interest."*). Though Shilling does not explicitly teach gathering data from an aerial position it would have been obvious to one of ordinary skill in the art that detection of the object would be possible from an aerial position as well, due to laser detection performed through an atmosphere regardless of its elevation, as described on page 2791 section 2 lines 6-9. Therefore it would be possible to acquire the data at varying elevations because the elevation does not affect the detection capabilities of the laser. Shilling also teaches an intervening obstacle that impedes a line of sight between the aerial position and a ground-level object on page 2796 section D lines 1-9. Therefore the detection of the object would be possible from an aerial position as well, because using laser detection through the atmosphere, as described on page 2791 section 2 lines 6-9, at varying elevation does not affect the detection capabilities of the laser. Schilling also teaches processing imaging data to identify elements in a point cloud, or three-dimensional set of points, having substantially common attributes signifying that the identified elements correspond to a feature in the area of study that is at least partially obscured by the intervening obstacle on page 2796 section D lines 1-10 (*"...our whole-return detection scheme is an enhanced capability to accomplish 3-D imaging through partial obscurations..."*), where it is described that points that lie within a common range that belong to the object is obtained as illustrated in Figure 11. Shilling teaches selecting a region of interest from the area of study on page 2793 section 3 lines 9-11 (*"...a 3-D array of data representing*

the entire volume of interest.”), where it is described that a region of interest is generated, therefore the region was selected prior to the rendering. Though Shilling does not explicitly teach generating from an aerial position, it would have been obvious to one of ordinary skill in the art that detection of the object would be possible from an aerial position as well, because the elevation does not affect the detection capabilities of the laser detection performed through an atmosphere, as described on page 2791 section 2 lines 6-9. Therefore it would be possible to acquire the data at varying elevations. Shilling teaches an up from underground oriented visualization model, or reversed oriented model of the region of interest exposing the feature in the area of study that is at least partially obscured by the intervening obstacle in the line of sight between the aerial position and the ground-level object on page 2796 section D lines 1-10 (“...our whole-return detection scheme is an enhanced capability to accomplish 3-D imaging through partial obscurations...””) and on page 2797 left column lines 1-2 (“...we are able to detect approximately 32% of the target information through a double camouflage net.”), where it is described that a reversed representation of the model that presents areas partially obscured through subtraction of other background objects or obstacles is generated, as shown in Figures 11 and 12. Regarding the preamble of claim 23, Schilling teaches a computer that contains a computer-readable medium that has instructions for detecting an object that is obscured by obstacles on page 2791 in the abstract lines 5-6 and on page 2798 section 8 second column lines 10-14. Regarding the preamble of claim 37, Shilling teaches a system for detecting a possible presence in an area of study of a ground-level object on page 2691 right column lines 12-17 (“Field trial results demonstrate the system capabilities, with emphasis on 3-D imaging in the presence of obscurations such as foliage and camouflage netting.”). However, Schilling fails to

Art Unit: 2628

teach generating at least one isosurface associating elements having substantial common attributes. Bala teaches generating an isosurface associating elements that have common attributes in column 1 lines 13-23 and 41-43 where it is described that a set of points that have constant scalar field values are used to generate an isosurface and contain connectivity information signifying that they correspond to the generated surface as described in column 6 lines 64-66. It would have been obvious to one of ordinary skill in the art to combine the teachings of Schilling et al. with Bala et al. because this combination would provide fast and efficient detection of an object through obscurities by gathering three-dimensional data in order to generate an isosurface of points with common attributes, thereby reducing the computation time that would be spent on generating an actual surface of the object.

Claims 4, 5, 11, 12, 18, 19, 25, 26, 32, 33, 39 and 40 are rejected under 35 U.S.C. 103(a) as being unpatentable over Schilling et al. in view of Bala et al., in further view of Foley et al. and in further view of Valle et al.

Schilling et al. and Bala et al. teach what is disclosed in claims 4, 5, 11, 12, 18, 19, 25, 26, 32, 33, 39 and 40 except the method of computing a mesh using a fast binning method and the computation of the isosurface of the object using a marching cubes method. Foley et al. teaches generating an isosurface using a marching cubes method on page 1048. The method of generating a mesh using a binning method is known in the art, and is described by Valle et al. on page 1 in lines 1-2 of the synopsis section, and lines 1-5 of the description section. It would have been obvious to one of ordinary skill in the art to combine the teachings of Schilling et al. and Bala et al. with the marching cube method of Foley et al. and the binning method of Valle et al.

Art Unit: 2628

because both methods improve the generation of the surfaces of the mesh data from an obtained group of data points through the generation of a smooth isosurface that presents a simplified representation of common points acquired from an are of interest.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Said Broome whose telephone number is (571)272-2931. The examiner can normally be reached on 8:30am-5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ulka Chauhan can be reached on (571)272-7782. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

S. Broome
9/29/06 *SB*


ULKA CHAUHAN
SUPERVISORY PATENT EXAMINER